

# INCREASING CAPABILITY FOR INTEROPERABILITY -

## *UTAH DEPARTMENT OF HEALTH INTEROPERABILITY ASSESSMENT: FINDINGS AND RECOMMENDATIONS*

---

Kailah Davis

Health Informatics Program

# Why Interoperability?

## Siloed Databases

Difficult to exchange data and perform analyses, such as population health analysis, across heterogeneous data sources

## National Push

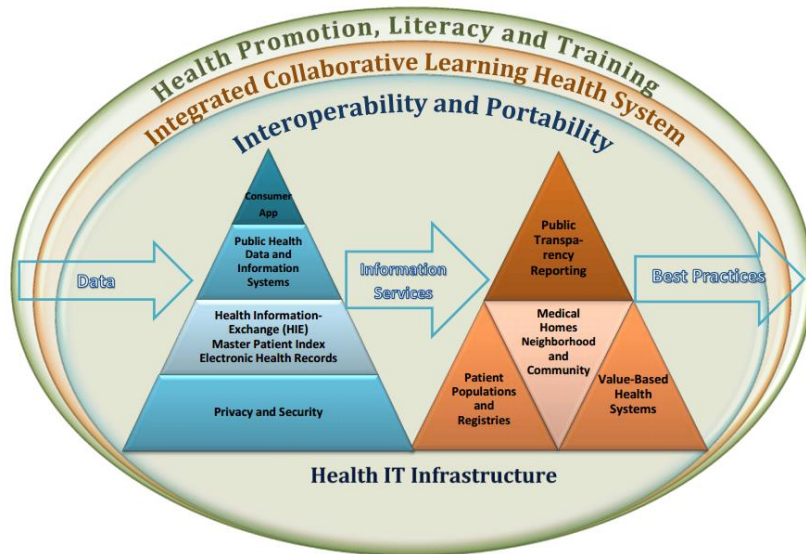
Health Information Technology for Economic and Clinical Health (HITECH) Act and the Office of National Coordinator Federal Health IT Strategic Plan 2015-2020

## Utah HIT Vision

Our statewide vision for health IT is for Utah to be a place where the secure and efficient exchange and use of electronic health information will result in improved health status, better health care, lower cost and healthier communities.

# Utah Health IT Vision

“..Our health IT priority is to **improve system interoperability and portability** to support integration of physical and behavioral health care and improve population health for all Utahans.”



Source: UTAH HEALTH IT VISION, PRINCIPLES, AND PRIORITIES: 2015 – 2020 - A Statewide Collaborative Statement

# Interoperability Needs Assessment

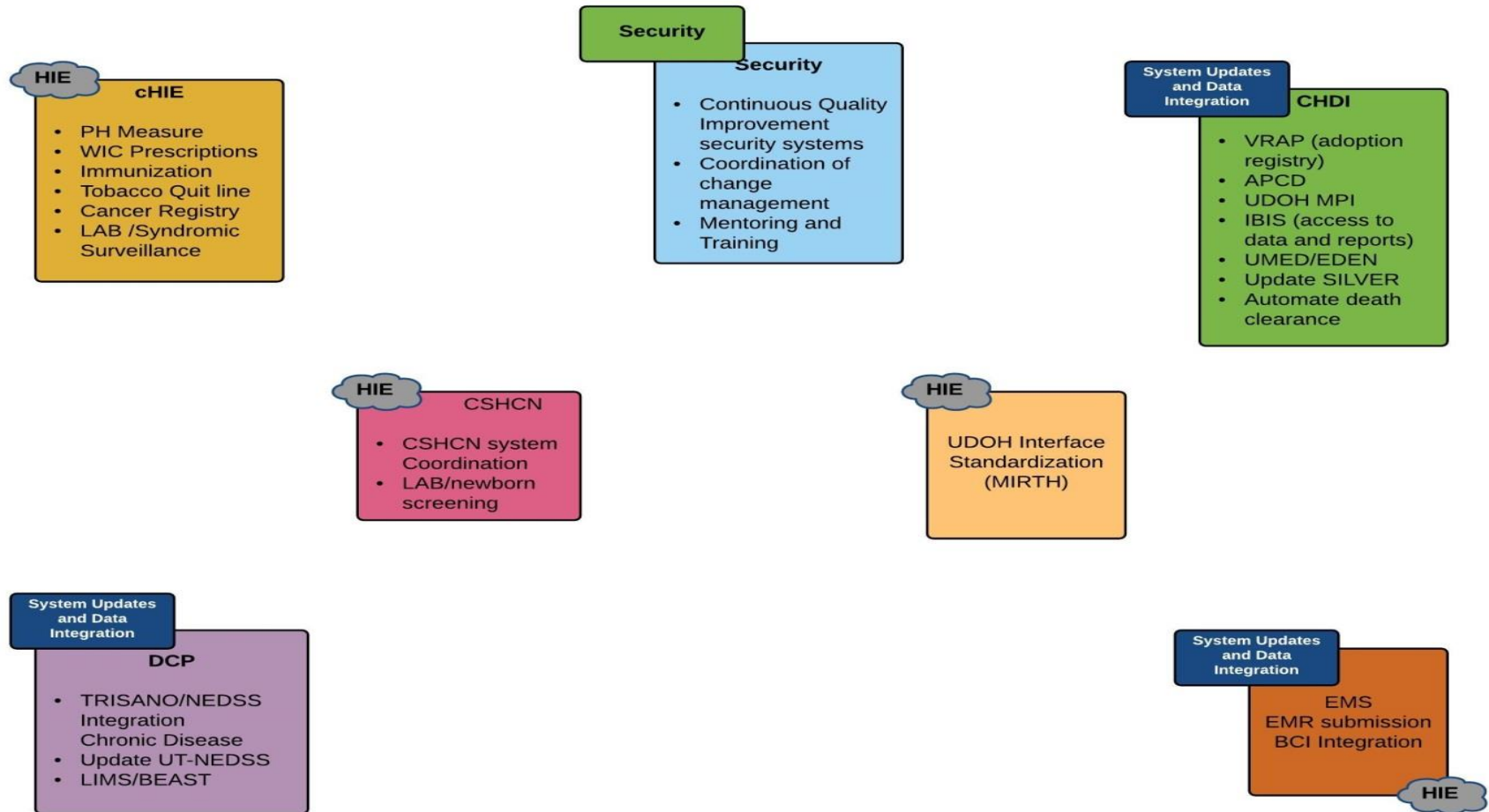
## Purpose

- To capture a current state assessment of key UDOH public health information systems that are aligned to ongoing innovative projects and to:
  - Identify UDOH's current exchange partners
  - Understand UDOH's exchange needs
  - Assess systems readiness for interoperability
  - Assess organization's readiness for interoperability
  - Identify barriers that prohibits interoperability and data integration
  - Identify resources needed to increase levels of interoperability and integration
- Additionally, the vision of this project is to identify key UDOH informants to further explore the integration and interoperability needs at UDOH

# System Interoperability Activities

Activity	Outcome
Cluster projects related to UDOH Strategic Plan Goals	Clustered innovative UDOH projects related to UDOH Strategic Plan Goals, “HIT Strategic Integration Matrix” document, and created a Word Cloud to visually show what was requested the most.
Conceptual Framework for UDOH Interoperability assessment	Visually depict the different data collection dimensions
Developed the interoperability instrument	The interoperability assessment tool was developed in REDCap and was based on the Levels of Information Systems Interoperability

## HIT Integration Matrix Clustered by Programs



**LABLIMS**  
**System Update**

**MPI**

**VRAP**

**CHIE**

**COI**

**Data Sharing Agreements**

**Data Integration**

**UDOH Internal Data Access**

Analytics

UIME DEEN Link to LIMS REAST

TRISANHESS + APCD

TRISANHESS + Chronic Diseases

EPHT

Newborn Screening

CSRock

Death Clearance

PDSM

EMS

TRISANOUTHESS

# Activities

Activity	Outcome
Cluster projects related to UDOH Strategic Plan Goals	Clustered innovative UDOH projects related to UDOH Strategic Plan Goals, “HIT Strategic Integration Matrix” document, and created a Word Cloud to visually show what was requested the most.
Conceptual Framework for UDOH Interoperability assessment	Visually depict the different data collection and reporting dimensions
Developed the interoperability instrument	The interoperability assessment tool was developed in REDCap and was based on the Levels of Information Systems Interoperability and PHII Public Health Informatics Profile Toolkit



**Data Partners  
(UDOH Data Collection)**



- Who are our data exchange partners?
- What data do we collect?
- What is the frequency of the data exchange?
- What governance processes support this initiative
- What standards are used to exchange and represent the data?
- What data you currently do not have that could help you achieve your mission?
- What data would like to be exchanged?
- What challenges could we foresee in establishing better electronic exchange?



Data Collection

Data Sets

Health Information  
Systems /  
Applications

**Utah Department of Health  
Interoperability and Analytics Needs Assessment  
Includes infrastructure, data sets and application.**  
*For purposes of this project, the instrument will focus on  
applications, data input, data output and exchange capabilities of an  
information system*

Statistics

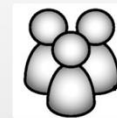
Computational Infrastructure &  
Analytic Tools

Terminal

Models

Visualization(s)

Data Sent



**Data Partners  
(Data Recipients from  
UDOH)  
Summary Data /  
Reports / Publications**



- Who do we send data to?
- How is the data sent?
- How is the data reported?
- What's the frequency of data sent and reports?
- What data is exchanged electronically now?
- What are the future data reporting needs?

**Cross cutting issues across all components**

Policies  
Infrastructure  
Standards  
Electronic Exchange Capabilities  
Applications

# Activities

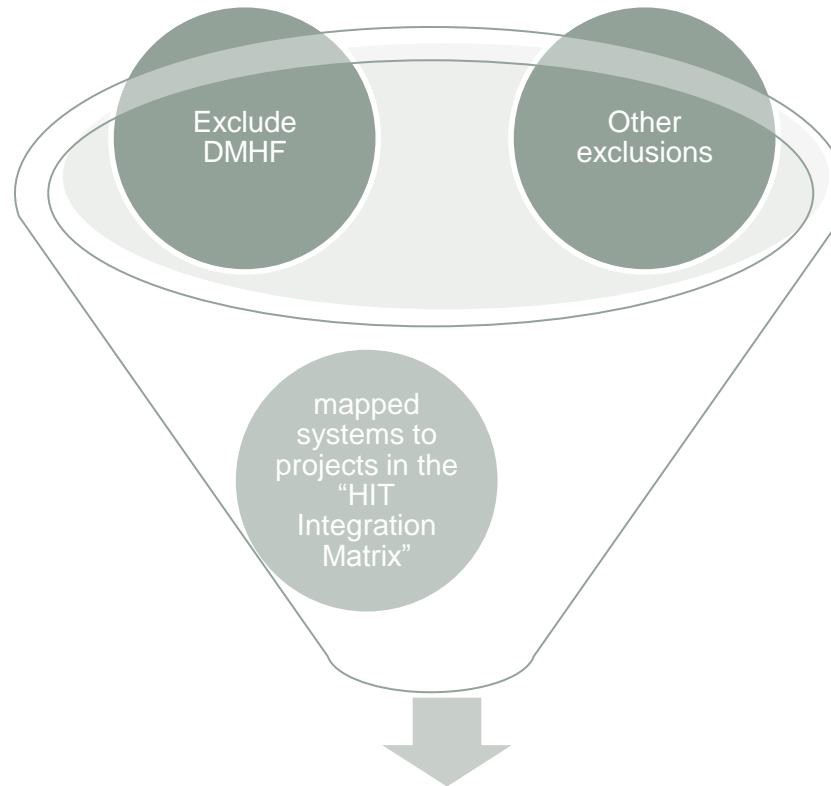
Activity	Outcome
Cluster projects related to UDOH Strategic Plan Goals	Clustered innovative UDOH projects related to UDOH Strategic Plan Goals, "HIT Strategic Integration Matrix" document, and created a Word Cloud to visually show what was requested the most.
Conceptual Framework for UDOH Interoperability assessment	Visually depict the different data collection and reporting dimensions
Developed the interoperability instrument	The interoperability assessment tool was developed in REDCap and was based on the Levels of Information Systems Interoperability and PHII Public Health Informatics Profile Toolkit

# Profile Categories

- ❖ System Data Flow
- ❖ Type of data exchanged
- ❖ Exchange Partners and Methods
- ❖ Standards for exchange
- ❖ Vocabulary—data representation
- ❖ Data Management and Quality Assurance
- ❖ Potential Exchange Partners
- ❖ Interoperability Levels
- ❖ Barriers/Challenges to data exchange
- ❖ Interoperability Resources Needed
- ❖ Analytic Needs

# Inclusion/Exclusion Criteria

Approximately 147 Systems at UDOH  
Source: DOHSI and HA Catalog



**23 UDOH Systems**

# UDOH System of Interest by Division

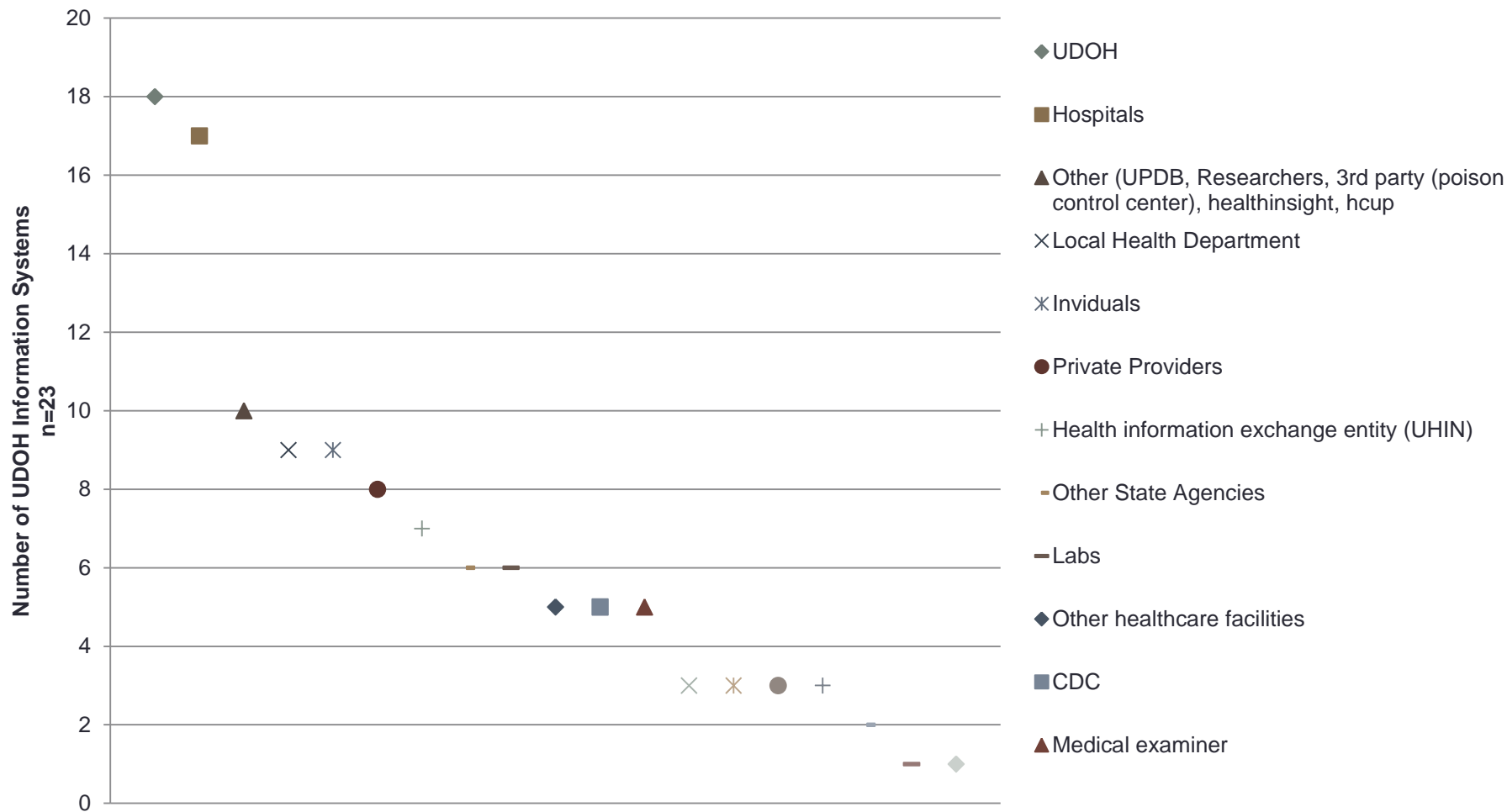
Division	Number of Systems
Center for Health Data and Informatics	8
Division of Control and Prevention	10
Division of Family Health and Preparedness	5

# High Level Findings

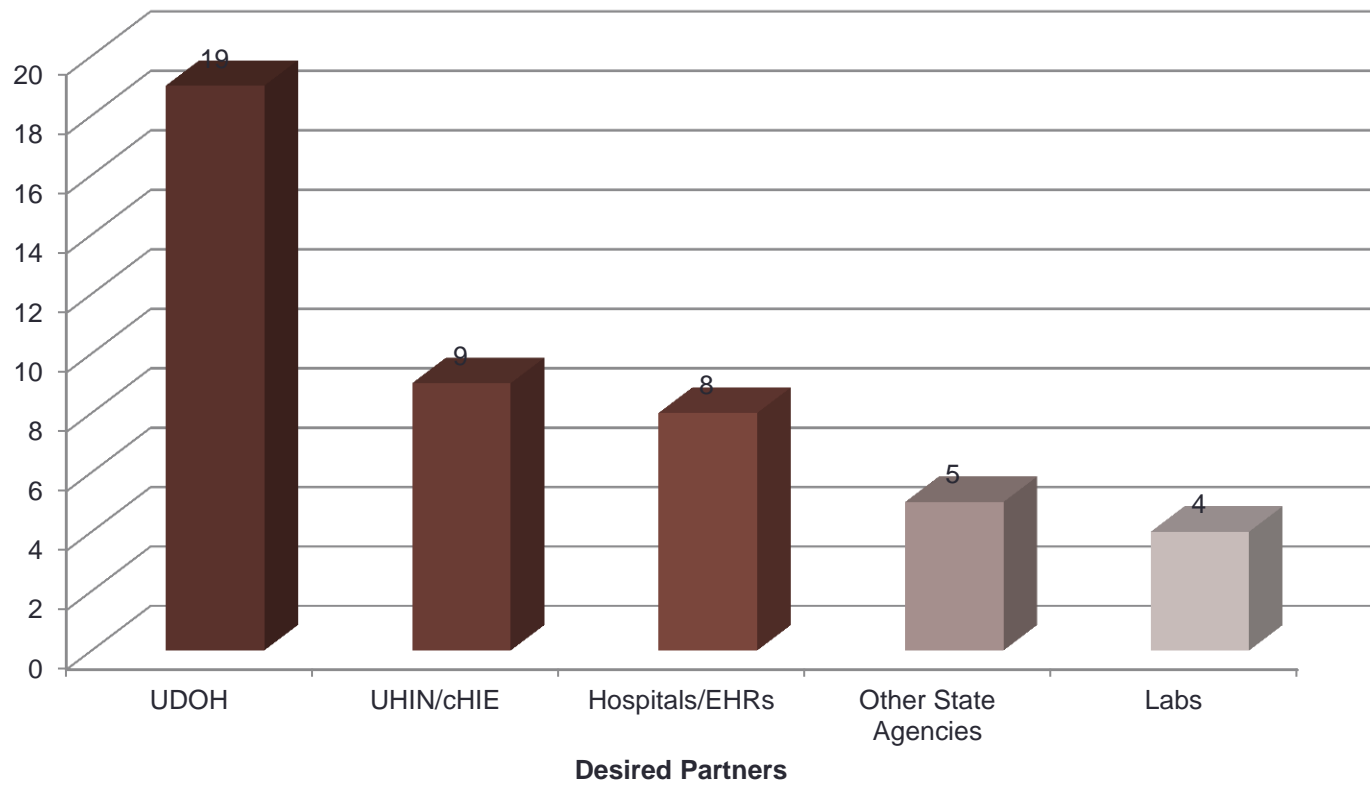
- ❑ Interviewees had strong desire want to improve exchange capability with stakeholders (both internal and external to UDOH)
- ❑ High variability in the methods used by UDOH systems to collect and report data
  - ❑ Not all stakeholders have capability
- ❑ Variability of interoperability levels and use of standards
- ❑ Many challenges to improving level of interoperability
  - ❑ Resources (funding, appropriate skills)
  - ❑ UDOH-wide coordination around interoperability is needed

# Current Exchange Partners

Current UDOH Information Exchange Partners

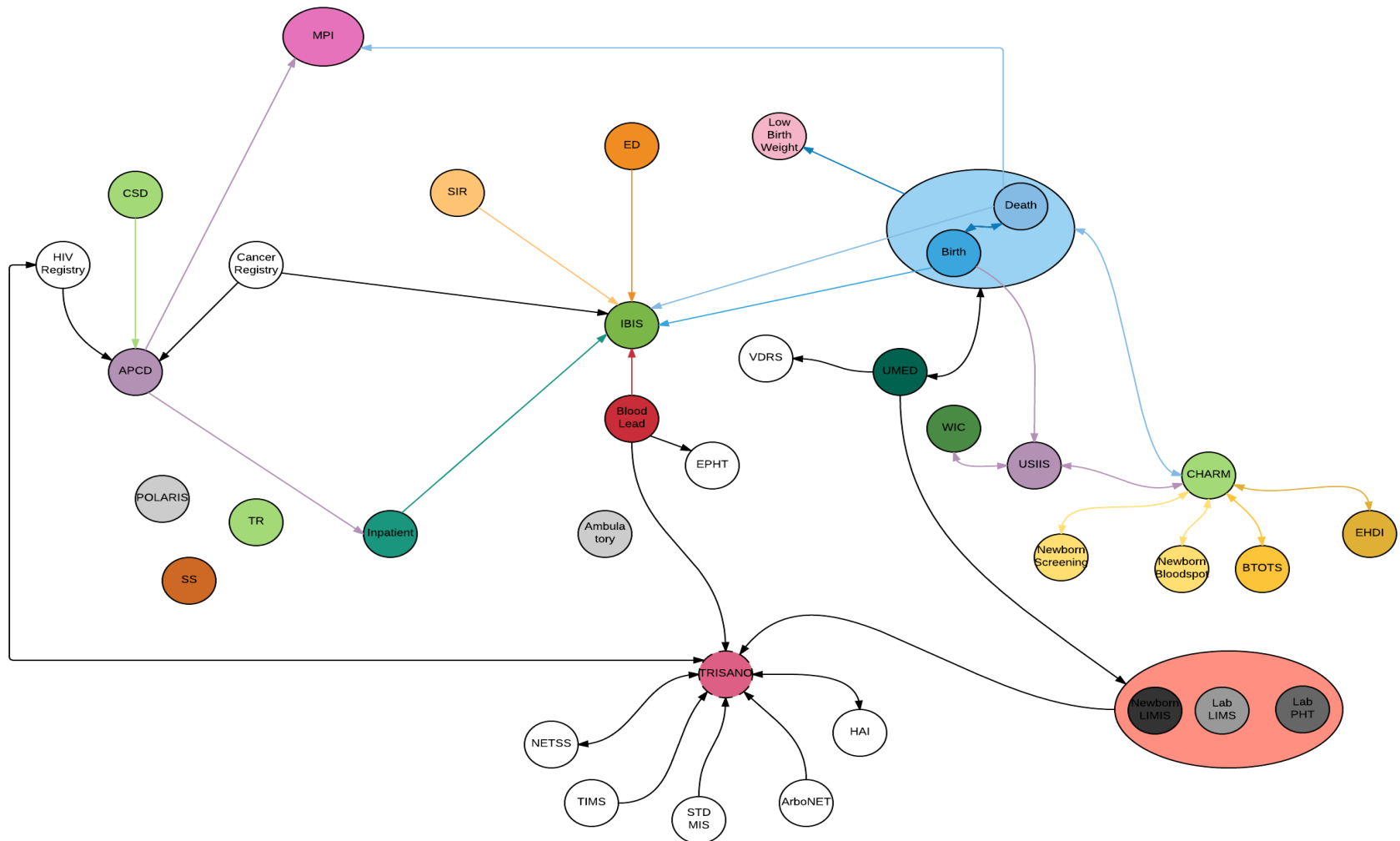


# Desired Exchange Partners

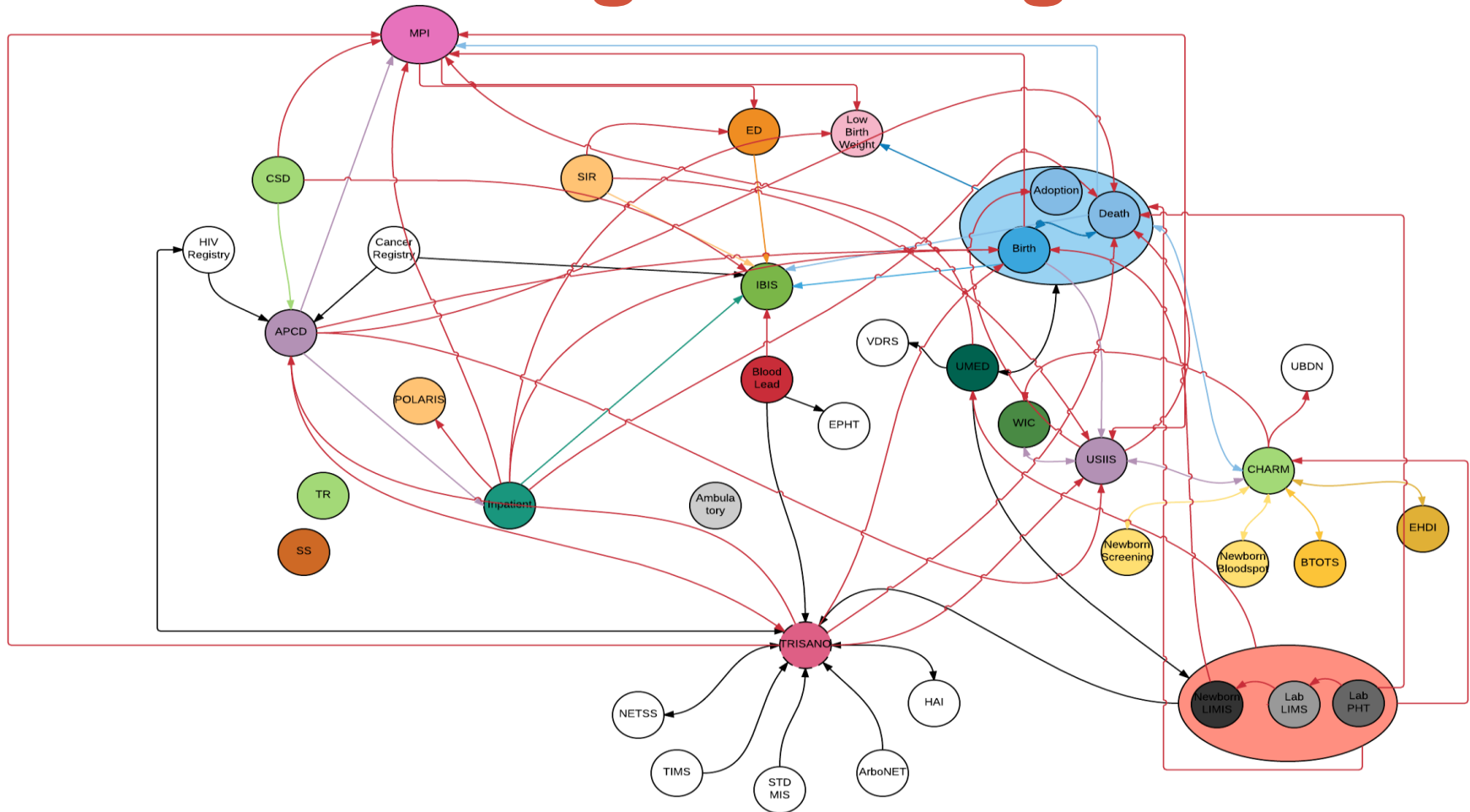




# Current Linkage/Exchanges



# Desired Linkage/Exchanges



A → B  
System A currently shares, links,  
merges, or exchanges with System B

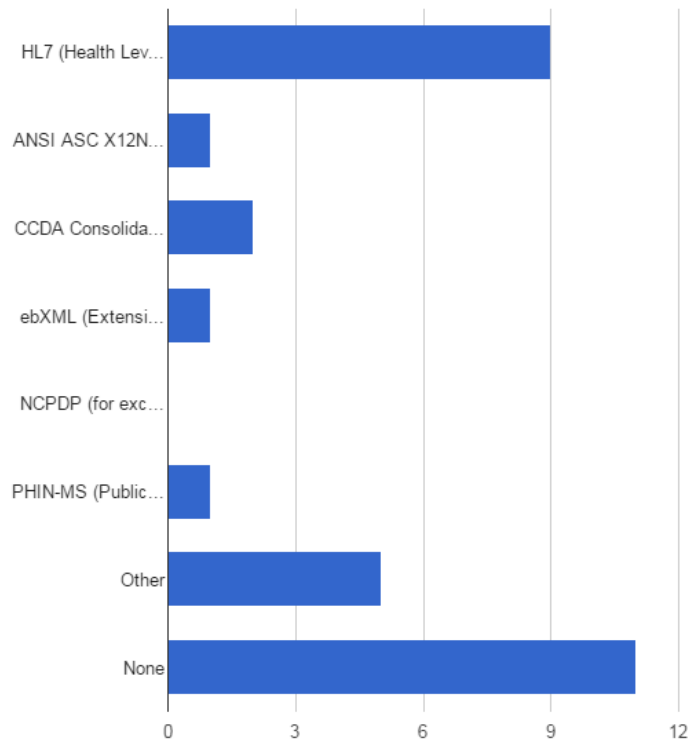
# Interoperability of UDOH's Systems

UDOH System Interoperability Level	Current/Capability
<b>Level 1</b> Non electronic data- No use of IT to share information Examples include paper, mail, and phone call.	0
<b>Level 2</b> Machine transportable data Examples include fax, email, and unindexed documents.	5
<b>Level 3</b> Machine Organizable Data Structured messages, unstructured content. Human action required.	12
<b>Level 4</b> Machine interpretable Data Structured messages, standardized content- including HL7 messages No human action required.	6

# Utilization of Standards

## *Exchange and Representation of Data*

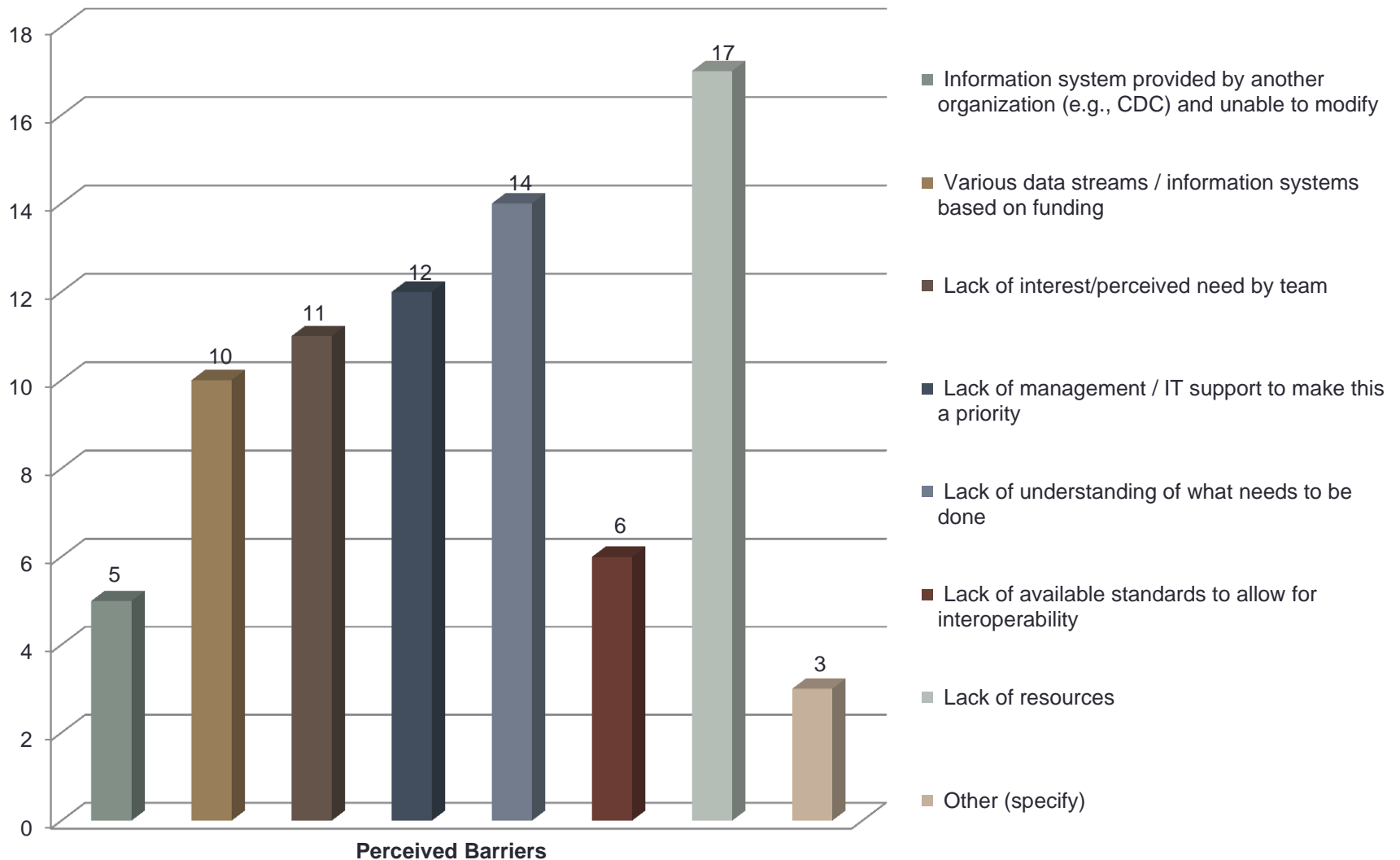
### Data Exchange Standards



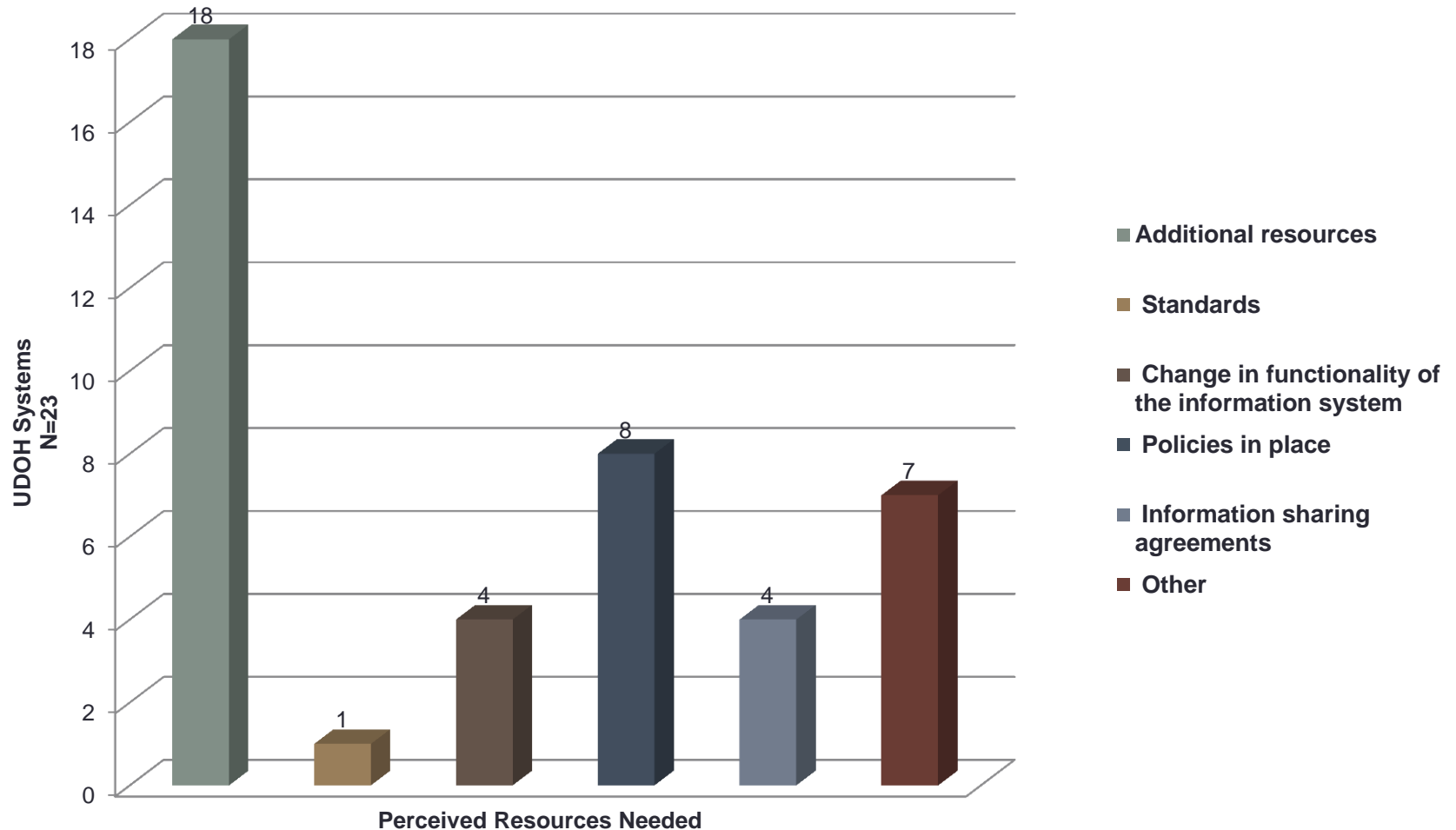
### Standards for representation of data

- – 20 of 23 systems: Use national standards for certain fields for data representation
- – 1 system: Use locally defined codes
- – 2 systems: No standards/codes

# Barriers to Electronic Exchange



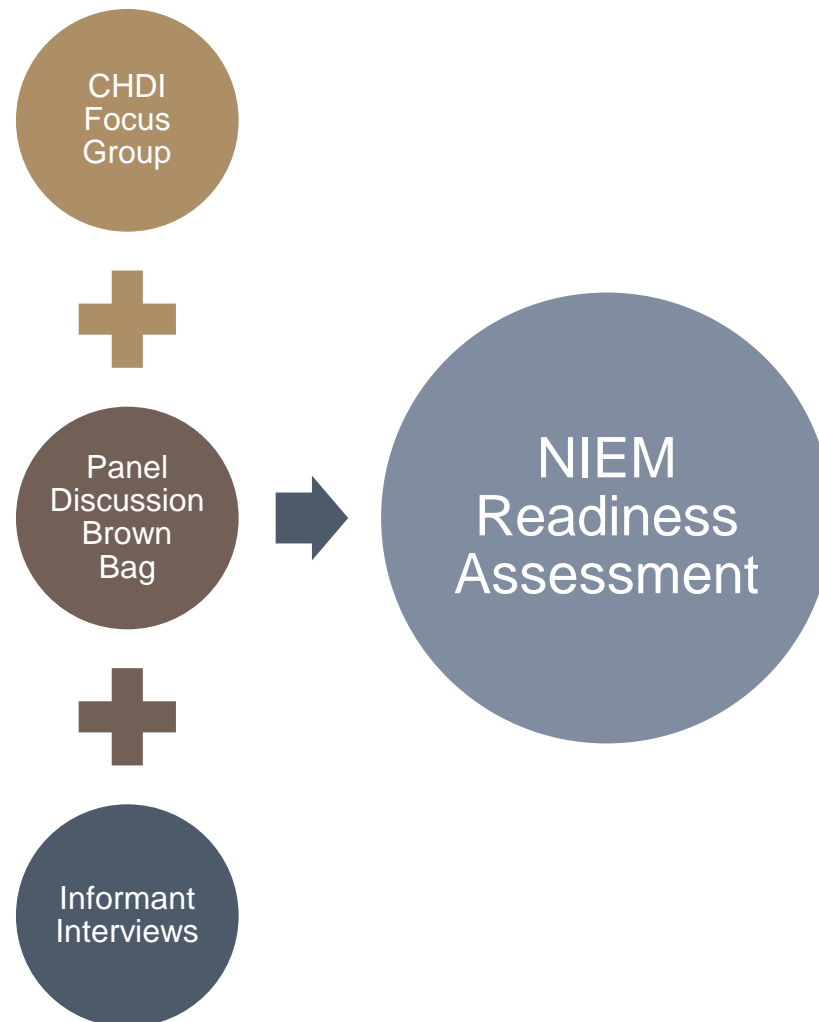
# Resources Needed for Electronic Exchange



# Resources Needed

- An agency wide strategic plan for interoperability
- Staff with appropriate skills
- Clarification on who can access data
- Department-wide commitment

# Organization Level Assessment

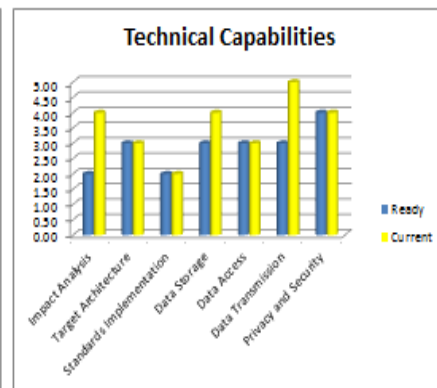
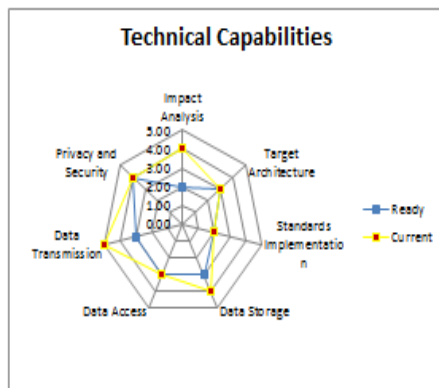
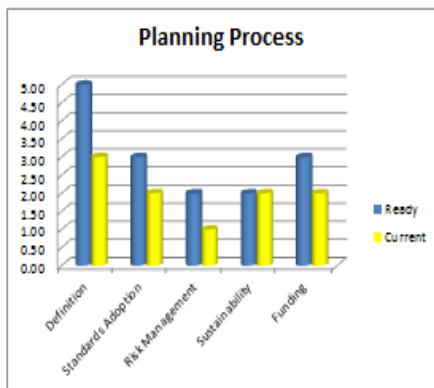
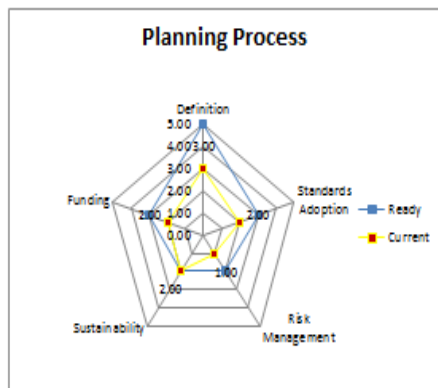
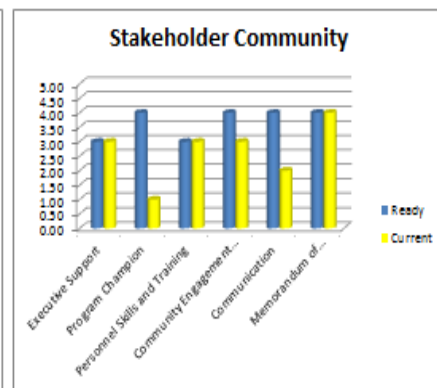
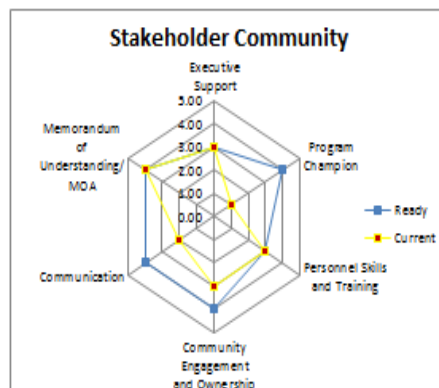
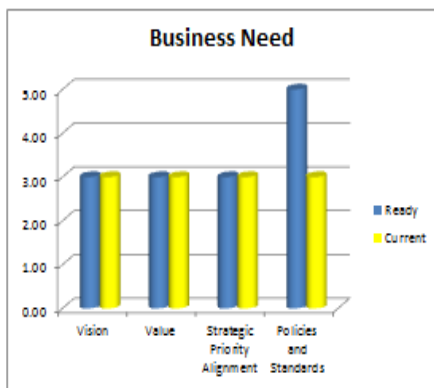
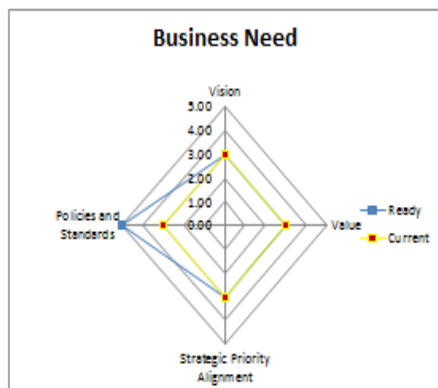




Overall Score	
Core Capability	Scores %
Business Need	90.00%
Stakeholder Community	75.00%
Planning Process	68.67%
Technical Capabilities	100.00%

≥ 30% **Ready**  
 < 30% **Capable**

Well-positioned to realize value of information sharing and exchange.  
 Capable of realizing value, but improvements are needed to realize full potential.



≥ 30% **Ready**  
 < 30% **Capable**

Well-positioned to realize value of information sharing and exchange.  
 Capable of realizing value, but improvements are needed to realize full potential.

# Recommendations

1. *UDOH –wide coordinated approach to promote better data integration and interoperability both internal and external exchange partners*
2. *Streamline internal data sharing agreements*
3. *Metadata Management*
4. *Terminology Management*
5. *Continue developing standards based systems*
6. *Develop UDOH common data model*
7. *Encourage support of multiple approaches to integration*
8. *Shared Resources*
9. *Share lessons learnt*
10. *Training, Skills, Training!*

# Discussion

- Any thoughts?
- How to implement recommendations?

Thank You 😊